

June 23, 2022

H.E. António Guterres  
Secretary-General  
United Nations  
New York, NY 10017  
USA

## ATB Financial's UN Global Compact Communication on Progress

This letter is to serve as our Communication on Progress (CoP) and a follow up to our commitment made last year (June 2021) when joining the UN Global Compact, to share how, over the past year, ATB Financial has contributed in the areas of human rights, labour, environment and anti-corruption.

As our organization continues the development of its environmental, social and governance (ESG) strategy, we have made an intentional decision to submit our CoP as a "Learner" organization at this time. This affords ATB the opportunity to thoroughly review the UN Global Compact framework in the context of our role as an Alberta-based financial institution and our strategy.

Our current submission includes our [2022 Annual Report](#) in addition to the following table which highlights specific Annual Report sections that demonstrate how ATB Financial has contributed to each of the four areas and their principles.

Area of Responsibility	10 Principles	ATB Financial 2022 Annual Report Reference
Human Rights	Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights; and	<a href="#">Data privacy and security</a> <a href="#">Client obsession scorecard</a> <a href="#">World-class talent</a> <a href="#">Health safety and well-being</a> <a href="#">People and culture scorecard</a> <a href="#">Board diversity policy</a>
	Principle 2: make sure that they are not complicit in human rights abuses.	<a href="#">Codes of conduct and ethics</a> <a href="#">Team members code of conduct and ethics</a> <a href="#">Board of director's code of conduct</a> <a href="#">Ethics and integrity</a>

Area of Responsibility	10 Principles	ATB Financial 2022 Annual Report Reference
Labour	<u>Principle 3</u> : Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining;	<a href="#">World-class talent</a> <a href="#">People and culture scorecard</a> <a href="#">Board diversity policy</a> <a href="#">Codes of conduct and ethics</a> <a href="#">Team members code of conduct and ethics</a> <a href="#">Board of director's code of conduct</a> <a href="#">Ethics and integrity</a>
	<u>Principle 4</u> : the elimination of all forms of forced and compulsory labour;	
	<u>Principle 5</u> : the effective abolition of child labour; and	
	<u>Principle 6</u> : the elimination of discrimination in respect of employment and occupation	
Environment	<u>Principle 7</u> : Businesses should support a precautionary approach to environmental challenges;	<a href="#">Environment scorecard</a> <a href="#">Sustainable finance</a> <a href="#">Resource management</a> <a href="#">Climate resilience</a> <a href="#">Climate risk</a>
	<u>Principle 8</u> : undertake initiatives to promote greater environmental responsibility; and	
	<u>Principle 9</u> : encourage the development and diffusion of environmentally friendly technologies.	
Anti-corruption	<u>Principle 10</u> : Businesses should work against corruption in all its forms, including extortion and bribery.	<a href="#">Conflicts of interest</a> <a href="#">Codes of conduct and ethics</a> <a href="#">Team members code of conduct and ethics</a> <a href="#">Board of director's code of conduct</a> <a href="#">Ethics and integrity</a>